

PATENT Docket No.: 1172/219

#### CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this paper or fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 6, 2004.

Julie Nguyen

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Hugh, et al.

Serial No.: 10/774,350

Filing Date: February 6, 2004

Title: SYSTEM, METHOD AND ARTICLE OF

MANUFACTURE FOR A KNOWLEDGE MODEL

Examiner: Not Yet Assigned

Group Art Unit: 2123

# TRANSMITTAL LETTER FOR MISSING PARTS OF NONPROVISIONAL APPLICATION

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In complete response to the Notice to File Missing Parts of NonProvisional Application Under 37 C.F.R. § 1.53(c) dated May 6, 2004, the time for response to which has been extended 1-month to August 6, 2004, attached please find:

- Return Postcard; and

08/11/2004 EFLORES 00000008 10774350

## ☑ Check No. 3127 (\$557.00) for:

Basic Filing Fee (\$385.00)

Late Filing Fee (\$65.00)

1 Independent Claims over 3 (\$43.00)

1 Total Claims over 20 (\$9.00)

1-month Extension Fee (\$55.00)

Applicant claims small entity status.

The Assistant Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this transmittal and associated documents, or to credit any overpayment to **Deposit Account No. 501288**. A duplicate copy of this transmittal is enclosed for that purpose.

Respectfully submitted,

Dated:

August 6, 2004

By: // /

Registration No. (32,822)

Liu & Liu

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Los Angeles, California 90017 Telephone: (213) 830-5743

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Serial No.: 10/774,350 Docket No.: 1172/219



UNITED STATES DEPARTMENT OF COMMERCE United States Department of COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/774,350

02/06/2004

Harlan M. Hugh

1172/219

**CONFIRMATION NO. 5259** 

26588 LIU & LIU LLP 811 WEST SEVENTH STREET, SUITE 1100 LOS ANGELES, CA 90017

**FORMALITIES LETTER** \*OC000000012556207\*

Date Mailed: 05/06/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/11/2004 EFLORES 00000008 10774350

01 FC:2001 02 FC:2051 03 FC:2201 04 FC:2202 385.00 OP 65.00 OP 43.00 OP

9.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$104 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$1004 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$104

■ \$86 for 1 independent claims over 3.

■ \$18 for 1 total claims over 20.

Replies should be mailed to: M

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE